

Substitute for Form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

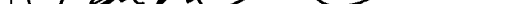
(Use as many sheets as necessary)

DEC 16 2002

Sheet

Complete if Known	
Application Number	09/939,413
Filing Date	08/23/2001
First Named Inventor:	Liew C. Chiu
Group Art Unit	2874
Examiner Name	Lin, T.
Attorney Docket Number	003918.P017X

[illegible][illegible]

Examiner Signature		Date Considered	06/2003
--------------------	---	-----------------	---------

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Substitute for Form 1449A/PTO (Modified)

**Complete if Known****INFORMATION DISCLOSURE****STATEMENT BY APPLICANT**

(use as many sheets as necessary)

DEC 16 2002

Sheet

2

of

2

Application Number

09/939,413

Filing Date

08/23/2001

First Named Inventor:

Liew C. Chiu

Group Art Unit

2874

Examiner Name

Lin, T.

Attorney Docket Number

003918.P017X

**OTHER ART - NO PATENT LITERATURE DOCUMENTS**Examiner  
Initials\*Cite  
No.<sup>1</sup>

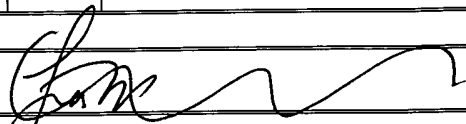
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

T<sup>2</sup>

mm

,

SHINICHI SASAKI, A Compact Optical Active Connector: An Optical Interconnect Module with an Electrical Connector Interface, IEEE Transactions on Advanced Packaging, pgs. 541-550, Vol. 22, No. 4, November 1999

Examiner  
SignatureDate  
Considered

06/2002

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.